# VIOLATION OF RULES AND PENALTIES

VIOLATION OF RULES AND PENALTIES 116. The Committee shall take cognizance of all violations of these rules, whether in letter or spirit. Any member found by them to be guilty of such violation, or who may fail to comply with any decision or ruling of the Exchange or of the Committee, or who in the opinion of the Committee is or has been guilty of conduct unworthy of a member, shall be liable to be fined or suspended, or fined and suspended from membership by resolution of the Committee; or should the matter be of such moment as in their opinion to demand expulsion, the Committee shall report accordingly to the members of a special general meeting to confirm the Committee's recommendation and expel the offending member, or deal with him by fine or suspension. 117. The fines mentioned herein shall not, except in cases

117. The fines mentioned herein shall not, except in cases where another amount is fixed, be less than  $\pounds 5$  or more than  $\pounds 25$ , and in case of a continuous offence shall be not less than  $\pounds 1$  or more than  $\pounds 5$  for each day during which such offence continues.

#### NOTICES

118. Except as by these rules otherwise provided, any notice or document to be given or served upon any member shall be deemed to be duly given or served if given by advertisement or by personal service upon the member, or by sending it through the post as a prepaid registered letter addressed to such member at his address as appearing in the Register, or to such other address as he shall from time to time notify in writing to the Secretary, or to his last known address. known address.

known address. 119. Any member of the Exchange who shall fail to observe or be bound by the terms of any decision or ruling of the Committee or of the Exchange, or the spirit or intention thereof, relative to the conduct by the members of the Exchange, of their business as such members, or to their dealings with one another as such members, or relative to other matters arising out of the objects or purposes of the Exchange, shall be deemed guilty of conduct unworthy of a member, and be dealt with in manner provided by Rule 116. 120 If a fine imposed on any member at a special

Rule 116. 120. If a fine imposed on any member at a special general meeting of members be unpaid at the end of one clear week, the member in default shall forfeit his member-ship, and the Committee shall announce to the members that he has retired from the Exchange, and his name shall be erased from the list of members. 121. A member, or his nominee, shall not vote at any meeting at which a charge affecting such member is under consideration.

consideration.

122. The Committee for the time being may, in their absolute discretion, and in such manner as they may think fit, notify, or cause to be notified, to the public that any member has been expelled, or has become a defaulter, or has been suspended, or has ceased to be a member.

123. No action or other proceeding shall, under any circumstances, be maintainable by the person referred to in such notification against any member or official publishing or circulating the same, and this rule shall operate as leave and authority to any member or official to publish or circulate such notification, and be pleadable accordingly. 124. A member under suspension shall not attend any meeting of the Exchange unless by leave of the Chairman, and then only for the purpose of speaking on a motion that he be fined or expelled, and having spoken he must withdraw. Such member shall not be entitled to vote.

ALTERATION, AMENDMENT, OR REPEAL OF RULES

125. In all dealings with members of other exchanges affiliated with the Stock Exchange Association of New Zealand the rules of that association shall apply and prevail over the rules of the Exchange. 126. The Exchange shall have power to alter, amend, or

126. The Exchange shall have power to alter, amend, or repeal any of these rules. 127. Notice shall be given in writing, addressed to the Secretary, of any proposed amendment, or repeal of, or addition to the rules. A special general meeting shall be called to consider any proposed alteration, amendment, or repeal, and at least seven days' notice of such meeting shall be given to the members by circular, sent to the last-known business address of each member. The circular shall contain a copy of the proposed alteration, amendment, or repeal, but the accidental omission to give any such notice to any member shall not invalidate any proceedings taken or any resolution passed at such meeting. No amendment which introduces fresh matter shall be entertained at the meeting, and no proposed amendment, or repeal of, or addition to the rules, if rejected, shall be entertained again for six months.

## DISSOLUTION

128. The property of the Exchange in the event of its winding-up shall be determined by a special general meeting of the members of the Exchange.

#### Rule substituted for Rule 125 above on the 8th day of June, 1943

1943 "The Rules of the Stock Exchange Association of New Zealand now in force, and all amendments made thereto here-after, shall be binding in all respects on all members of this Exchange in the same manner as if they had been severally adopted by and incorporated in the Articles of this Exchange, and where there is any conflict or ambiguity between the Articles of this Exchange and the rules for the time being of the Stock Exchange Association of New Zealand the latter rules shall apply and prevail over the Articles of this Exchange."

Approved in Council-

C. A. JEFFERY, Clerk of the Executive Council.

## Public Trust Office Act, 1908, and its Amendments.-Election to administer Estates

NOTICE is hereby given that the Public Trustee has filed in the Supreme Court an election to administer in respect of the several estates of the persons deceased whose names, residences, and occupations (so far as known) are hereunder set forth :--

No.	Name.	Occupation.	Residence.	Date of Death.	Date Election filed.	Testate or Intestate.	Stamp Office concerned.
1	Aitken, Helen Margaret	Spinster	Dunedin (formerly Christchurch)	2/4/44	16/6/44	Testate	Dunedin.
2	Baird, James William Gordon	Labourer	Makarewa (formerly Bluff)	13/5/44	16/6/44	"	Invercargill.
3	Baxter, John Robert	Gardener (formerly painter)	Christchurch (for- merly Leicester, England)	13/3/44	16/6/44	22	Christchurch.
4	Clark, Ernest	Accountant	Los Angeles, Cali- fornia, U.S.A.	4/6/43	16/6/44	,,	Auckland.
$\begin{array}{c} 5\\ 6\end{array}$	Crawshaw, William Darroch, George Caldwell	Labourer Linotype-operator (soldier)	Auckland Timaru	17/4/44 22/12/43	$16/6/44 \\ 16/6/44$	»» »»	Christchurch.
7 8 9	Dennehy, Mary Ann Ewing, Bruce Cunningham Fernandez, Edward Emanuel	Married woman Male nurse (soldier) Civil servant	Barrytown Porirua Wellington	$\begin{array}{c c} 3/5/44 \\ 2/6/41 \\ 27/4/44 \end{array}$	$16/6/44 \\ 16/6/44 \\ 16/6/44$	Intestate Testate Intestate	Hokitika. Wellington.
10	Gawith, Sam	Forest foreman	Woodburn Shire, New South Wales	7/11/40	16/6/44	"	Napier.
$\begin{array}{c} 11\\ 12\\ 13\end{array}$	Geayley, George Edward Hellings, Michael Victor Higgins, William Henry	Crane-driver Cook Retired horse-trainer	Lyttelton Christchurch Wanganui	6/12/43 7/5/43 25/5/44 or	$16/6/44 \\ 16/6/44 \\ 16/6/44$	99 29 29	Christchurch.
14 15	Hobbs, William Ernest Johnstone, James Henry	Retired moulder Railway employee (airman)	Christchurch Bluff	$\begin{array}{c} 26/5/44 \\ 17/3/44 \\ 24/7/43 \end{array}$	$16/6/44 \\ 16/6/44$	Testate "	Christchurch. Invercargill.
16 17 18 19 20 21 22 23	MacMillan, George WattersMarsden, Mary AnnMay, AnnieMcAdam, JohnMcGregor, CharlesNorriss, Mary JaneParris, Sophia LucyStewart, Ralph Douglas	Farm-hand (soldier) Widow Spinster Shepherd Carpenter Widow Settler	Matamata Christchurch "Cheviot Hastings Murchison Pukekohe Auckland (formerly Helensville)	$\begin{array}{c} 9/12/41\\ 19/3/44\\ 6/5/44\\ 3/4/44\\ 5/5/44\\ 2/5/44\\ 19/12/43\\ 8/5/44\\ \end{array}$	$\begin{array}{c} 16/6/44\\ 16/6/44\\ 16/6/44\\ 16/6/44\\ 16/6/44\\ 16/6/44\\ 16/6/44\\ 16/6/44\\ 16/6/44\\ \end{array}$	" " Intestate " Testate	Auckland. Christehurch. " Napier. Nelson. Auckland. "
24 25 26	Wallace, AnnieWilliams, Eva TinaWilson, Robert	Married woman  Retired fruiterer	Christehureh	$3/4/42\ 23/12/43\ 9/3/44$	$16/6/44\ 16/6/44\ 16/6/44\ 16/6/44$	Intestate "Testate	Christchurch. New Plymouth Invercargill.

Public Trust Office, Wellington, 19th June, 1944.

W. G. BAIRD, Public Trustee.