

SUPPLEMENT

TO THE

NEW ZEALAND GAZETTE

OF

THURSDAY, 7 JULY 1983

Published by Authority

WELLINGTON: FRIDAY, 8 JULY 1983

The New Zealand Stock Exchange Rules 1983

RONALD DAVISON.

Administrator of the Government

At the Government House at Wellington this 4th day of July 1983

Present:

HIS EXCELLENCY THE ADMINISTRATOR OF THE GOVERNMENT IN COUNCIL

PURSUANT to the Sharebrokers Amendment Act 1981, His Excellency the Administrator of the Government in Council hereby approves the following rules made by the Stock Exchange Association of New Zealand for and in anticipation of the establishment of the New Zealand Stock Exchange.

Analysis

- Interpretation
- Objects
- 1.0 2.0 3.0 3A.0 4.0 5.0
- Membership Transitional Provisions
- Application for Membership Election to Membership
- Conditions of Membership
- Council
- Executive
- Regional Exchanges
- 10.0 General Meetings

Contracts

- 11.0 Conduct of Members
- Listing
- Prospectuses Underwriting
- Quotations and Sales Reporting
- Brokerage
- Delivery and Settlement

- 19.0 Short-term Money Market, Commercial Bills, Contributory Mortgages
- 20.0 Discipline
- 21.0 Disputes
- 22.0 23.0 24.0 25.0 Defaulting Members Members Books of Account and Audit
- Fidelity Guarantee Fund
- General
- 26.0 27.0 Miscellaneous
- Common Seal
- 28.0 Financial
- Amendment to Rules

1.0 Interpretation

- In these Rules and any Regulations made hereunder— "bylaws" in relation to any regional exchange means its bylaws made under Rule 9.04 and for the time being in 1.01
- "Exchange" or "N.Z. Stock Exchange" means the New Zealand Stock Exchange (which body is referred to in section 3 of the Sharebrokers Amendment Act 1981 as 1.02 the successor of the Stock Exchange Association of New Zealand, the Auckland Stock Exchange, the Wellington Stock Exchange, the Christchurch-Invercargill Stock Exchange Limited and the Dunedin Stock Exchange). "Executive" means the Executive of the Exchange as
- 1.03
- constituted by Rule 8.01. "Executive Director" means the executive director of the 1.04 Exchange or the person for the time being carrying out the duties of the executive director. "committee" means the committee of a regional exchange
- 1.05
- 1.06
- "committee" means the committee of a regional exchange as provided for by Rule 9.03.
 "Council" means the Council of the Exchange as constituted by Rule 7.02.
 "member" means a member of the Exchange and includes both ordinary and country members except where a contrary intention appears. 1.07